



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/610,891	07/06/2000	James McArthur	40567

FORMALITIES LETTER



OC00000005452689

Dean H Nakamura
Roylance Abrams Berdo & Goodman LLP
Suite 600
1300 19th Street NW
Washington, DC 20036-2680

Date Mailed: 10/04/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2001 HNDOR1 00000063 182220 09610891
02-FC-205 -65.00 CH

05/07/2001 HNDOR1 00000063 182220 09610891

02-FC-205 -65.00 CH

40567

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

James McArthur et al.

Serial No.: 09/610,891

Filed: 6 July 2000

For: **NOVEL CANCER-ASSOCIATED
ANTIGENS AND METHODS OF THEIR
IDENTIFICATION AND USE**



Patent Art Unit: Not Assigned

Examiner: Not Assigned

REQUEST FOR REFUND

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned respectfully request a refund be credited to Deposit Account No.

18-2220 for the following amounts:

(1) There are only three independent claims, claims 1, 18 and 20. Claim 11 depends on claim 1. Therefore, there is an inappropriate \$78.00 charge for four independent claims when there are instead only three independent claims. Please credit Deposit Account No. 18-2220 in the amount of \$78.00.

(2) Small Entity status applies to the instant application. Therefore, one-half of the paid large entity filing fee of \$726.00 is to be refunded. Please credit Deposit No. 18-2220 in the amount of \$363.00.

Adjustment date: 11/21/2000 NVILLARI
07/14/2000 NVILLARI 00000019 182220 09610891
~~03 FC:102~~ ~~-78.00 ER~~

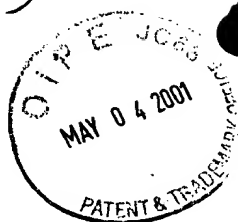
Adjustment date: 11/21/2000 NVILLARI
07/14/2000 NVILLARI 00000019 09610891
~~01 FC:101~~ ~~-690.00 BP~~
~~02 FC:103~~ ~~-36.00 BP~~
Roylance, Abrams, Berdo & Goodman, L.L.P.
1300 19th Street, N.W., Suite 600
Washington, D.C. 20036
(202) 659-9076
Dated: 18 October 2000

Respectfully submitted,

Dean H. Nakamura
Attorney for Applicants
Reg. No. 33,981

US PATENT & TRADEMARK
11/21/2000 NVILLARI 00000011 09610891
01 FC:201 345.00 OP
02 FC:203 18.00 OP
03 FC:205 65.00 OP
NON-PROSECUTED
Ref: 11/21/2000-NVILLARI 0008203300
Name/Number: 09610891
\$298.00 CR
FC: 704

40567



Section \$/ # 3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
James McArthur et al. : Group Art Unit 1643
Serial No.: 09/610,891 : Examiner: TBA
Filed: 6 July 2001 :
For: NOVEL CANCER-ASSOCIATED :
ANTIGENS AND METHODS OF THEIR :
IDENTIFICATION AND USE :

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

BOX MISSING PARTS

The Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

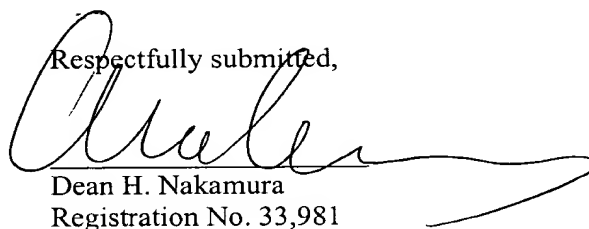
In response to the Notice to File Missing Parts dated 4 October 2000, copy attached,
submitted herewith are:

- [X] An executed Declaration and Power of Attorney.
- [X] Applicant is entitled to small entity status.
- [X] Applicant petitions for an extension of five months to respond.
- [X] Kindly charge Deposit Account 18-2220 the amount of \$1010.00 to cover the surcharge fee of \$65.00 and the Petition for Extension of Time fee of \$945.00.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
 - [X] Any additional patent application processing fees under 37 CFR 1.16.
 - [X] Any additional patent application processing fees under 37 CFR 1.17.

Roylance, Abrams, Berdo & Goodman
1225 Connecticut Avenue, N.W.
Washington, D.C. 20036-2680
(202) 659-9076

Dated: 4 May 2001

Respectfully submitted,


Dean H. Nakamura
Registration No. 33,981